

01-02-02

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PTO/SB/50 (02-01)

Approved for use through 01/31/2004. GMB 0051-0033

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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:  Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	8325-2001.30
	First Named Inventor	CHOO et al.
	Original Patent Number	6,007,988
	Original Patent Issue Date (Month/Day/Year)	Dec. 28, 1999
	Express Mail Label No.	EV 036 419 911 US

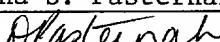
APPLICATION FOR REISSUE OF:  Utility Patent  Design Patent  Plant Patent  
(Check applicable box)

APPLICATION ELEMENTS (37 CFR 1.173)		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) (Submit an original, and a duplicate for fee processing)	10. <input checked="" type="checkbox"/> Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c).		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	11. <input type="checkbox"/> Original U.S. Patent for surrender  <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)		
3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format (amended, if appropriate)	12. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) (if applicable)		
4. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) (37 C.F.R. § 1.175) (PTO/SB/51 or 52)	14. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)		
6. <input checked="" type="checkbox"/> Power of Attorney	15. <input checked="" type="checkbox"/> Preliminary Amendment		
7. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es))	16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
<input type="checkbox"/> Written Consent of all Assignees (PTO/SB/53); <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)	17. Other: ..... .....		
8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table			
9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all of the following are necessary)			
a. <input type="checkbox"/> Computer Readable Form (CRF)			
b. Specification Sequence Listing on: i <input type="checkbox"/> CD-ROM (2 copies) or CD-R (2 copies); or ii <input type="checkbox"/> paper			
c. <input type="checkbox"/> Statements verifying identity of above copies			

## 18. CORRESPONDENCE ADDRESS

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NAME (Print/Type)	Dahna S. Pasternak	Registration No. (Attorney/Agent)	41,411
Signature		Date	27 Dec 2001

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10/03/2001  
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## REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)  
8325-2001.30

## Claims as Filed - Part 1

Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 41	Total Claims (37 CFR 1.16(j))	(B) 74	33 =	x \$ 42 =		x \$ 84 =	
(C) 4	Independent claims (37 CFR 1.16(i))	(D) 6	2 =	x \$ 9 =			x \$ 18 =
				Basic Fee (37 CFR 1.16(h))	\$370		
				Total Filing Fee	\$		
OR							

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	74	MINUS	42	= 32	x \$ 42 =		x \$ 84 =	\$2,688
Independent Claims (37 CFR 1.16(i))	6	MINUS	6	= 0	x \$ 9 =			x \$ 18 = -0-
				Total Additional Fee	\$			OR \$3,428.00

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims.

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

 Applicant claims small entity status. See 37 CFR 1.27. Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 18-1648.  
A duplicate copy of this sheet is enclosed. A check in the amount of \$ 3,428.00 to cover the filing / additional fee is enclosed. Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**27 Dec 2001  
DateDahna S. Pasternak  
Signature of Applicant, Attorney or Agent of Record

Dahna S. Pasternak

Typed or printed name

Atty Dkt No. 8325-2001.30  
Client No. G1-US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Reissue Patent Application of:

CHOO et al (U.S. Patent No. 6,007,988)

Serial No.: Unassigned

Group Art Unit: 1636

Filing Date: Herewith

Examiner: William Sandals

Title: **RELATING TO BINDING  
PROTEINS FOR RECOGNITION  
OF DNA**

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Express Mail Mailing Label No.: EV 036 419 911 US

Date of Deposit: 27 December 2001

I hereby certify that the enclosed Reissue Application, Preliminary Amendment, Declaration by Inventors, 3.73(b) Certificate, Consent of Assignee, Power of Attorney by Assignee, and check for \$3,428 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Susan LaMont  
Susan LaMont

"Express Mail" Mailing Label No. EV 036 419 911 US Date of Deposit December 27, 2001.  
I hereby certify that this paper or fee is being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above  
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Susan LaMont Susan LaMont  
(Typed or Printed Name of Person Mailing Paper or Fee) (Signature of Person Mailing Paper or Fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Reissue Patent Application of:

CHOO et al. (U.S. Patent No. 6,007,988)

Serial No.: Not yet assigned

Art Unit: 1636

Filing Date: Herewith

Examiner: William Sandals

Title: RELATING TO BINDING PROTEINS FOR RECOGNITION OF  
DNA

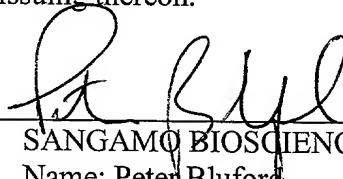
**CERTIFICATE UNDER 37 CFR 3.73(b)**

SANGAMO BIOSCIENCES, INC., a corporation, certifies that it is the  
assignee of the entire right, title and interest in the reissue patent application identified  
above by virtue of an assignment of the patent identified above, recorded at Reel 012211,  
Frame 0005.

The undersigned (whose title is supplied below) is empowered to sign this  
certificate on behalf of the assignee.

I declare that all statements made herein of my own knowledge are true and  
that all statements made on information and belief are believed to be true; and that these  
statements were made with the knowledge that willful false statements and the like so  
made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of  
the United States Code, and that such willful false statements may jeopardize the validity  
of the application or any patent issuing thereon.

Date: 12-14-01

  
\_\_\_\_\_  
SANGAMO BIOSCIENCES, INC.  
Name: Peter Bluford  
Title: Vice President, Corporate Development